

ReCeipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GORMAN ET AL. Examiner: UNKNOWN  
Serial No.: 09/997,868 Group Art Unit: 1647  
Filed: NOVEMBER 28, 2001 Docket: 11669.103USW3  
Confirmation No.: 6177  
Title: PROHORMONE CONVERTASE TRANSFORMED CELLS AND POLYPEPTIDE SYNTHESIS

TECH CENTER 1600/2900

APR 11 2002

RECEIVED



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 4, 2002.

By: *Sheryl A. Boerboom*  
Sheryl A. Boerboom

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Request for Corrected Filing Receipt and copy of the Filing Receipt showing changes made in red
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: *Katherine M. Kowalchuk*  
Name: Katherine M. Kowalchuk  
Reg. No.: 36,848  
KKowalchuk:sab





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S/N 09/997,868

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

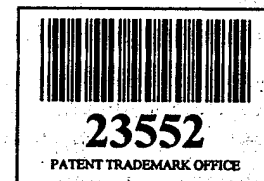
Applicant:	GORMAN ET AL.	Examiner:	UNKNOWN
Serial No.:	09/997,868	Group Art Unit:	1647
Filed:	NOVEMBER 28, 2001	Docket No.:	11669.103USW3
Title:	PROHORMONE CONVERTASE TRANSFORMED CELLS AND POLYPEPTIDE SYNTHESIS		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 28, 2002.

By: *Sheryl A. Boulton*  
Name:

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents  
Washington, D.C. 20231



Dear Sir:

Submitted herewith are 15 sheet(s) of Formal Drawings for completion of this application, and in compliance with the requirements of the Notice to File Missing Parts of Nonprovisional Application mailed December 28, 2001.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: February 28, 2002

*Katherine M. Kowalchuk*  
Katherine M. Kowalchuk  
Reg. No. 36,848  
KMK:PSTkaw

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,868	11/28/2001	Cornelia M. Gorman	11669.103USW/3

CONFIRMATION NO. 6177

FORMALITIES LETTER



\*OC000000007237624\*

Attention: Katherine M. Kowalchuk  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

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Date Mailed: 12/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/15/2002 TGEDAMU1 00000118 09997868

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	84.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

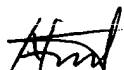
Repln. Ref: 04/23/2002 HPRASASO 0013010300  
DAB:132725 Name/Number:09997868  
FC: 704 \$84.00 CR

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025-03-10 09:06:00

47



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S/N 09/997,868

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	GORMAN ET AL.	Examiner:	UNKNOWN
Serial No.:	09/997,868	Group Art Unit:	1647
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*Sheryl A. Boerboom*  
Sheryl A. Boerboom

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed:

The "ATTY. DOCKET NO." should read --11669.103USW3--.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: March 4, 2002

*Katherine M. Kowalchuk*  
Katherine M. Kowalchuk  
Reg. No. 36,848  
KMK:sab





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/997,868	11/28/2001	1647	0.00	14669.103 USW3	15	1	1

DMK  
Attention: Katherine M. Kowalchuk  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903



11669.103 USW3

CONFIRMATION NO. 6177

## FILING RECEIPT



\*OC000000007237623\*

Date Mailed: 12/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Cornelia M. Gorman, San Francisco, CA;  
Debyra J. Groskreutz, San Francisco, CA;

## Assignment For Published Patent Application

Genentech, Inc., San Francisco, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 08/026,143 03/01/1993  
WHICH IS A CIP OF PCT/US92/10621 12/04/1992 \*  
WHICH IS A CIP OF 07/887,265 05/22/1992 ABN  
WHICH IS A CIP OF 07/803,631 12/06/1991 ABN  
(\* ) Data inconsistent with PTO records.

## Foreign Applications

If Required, Foreign Filing License Granted 12/26/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

D✓

**Title**

Prohormone convertase transformed cells and polypeptide synthesis

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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**NOT GRANTED**

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